

# Measurable Kleene Algebras and Structural Control

Fei Liang<sup>1</sup>

joint work with: G. Greco<sup>2</sup> A. Palmigiano<sup>1,3</sup>

<sup>1</sup> Delft University of Technology, the Netherlands

<sup>2</sup> Utrecht University, the Netherlands

<sup>3</sup>University of Johannesburg, South Africa

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- (Kurtonina & Moorgat 97) the fact that grammar rules often admit exceptions, understood as rules which yield grammatically non well-formed constructions if applied unrestrictedly, but grammatically well-formed sentences if applied in a controlled way;
- aims at establishing systematic forms of communication between different grammatical regimes in formal linguistics;
- the basic Lambek calculus incarnates the most general grammatical regime, and the special behaviour of its extensions is captured by additional analytic structural rules;
- a systematic two-way communication between these grammatical regimes is captured by introducing extra pairs of adjoint modal operators (the structural control operators).

- embedding from **L** to **NL** + { $\square$ ,  $\blacklozenge$ }:

$$\begin{aligned}p^\# &= p \\ A \cdot_{\mathbf{L}} B &= \blacklozenge(A^\# \cdot_{\mathbf{NL}} B^\#) \\ A /_{\mathbf{L}} B &= \square A^\# /_{\mathbf{NL}} B^\# \\ A \setminus_{\mathbf{L}} B &= \square A^\# \setminus_{\mathbf{NL}} B^\#\end{aligned}$$

- controlled associativity in **NL** + { $\square$ ,  $\blacklozenge$ }:

$$\blacklozenge(A \cdot_{\mathbf{NL}} \blacklozenge(B \cdot_{\mathbf{NL}} C)) = \blacklozenge(\blacklozenge(A \cdot_{\mathbf{NL}} B) \cdot_{\mathbf{NL}} C)$$

## Definition (Kozen90)

A *Kleene algebra* is a structure  $\mathbb{K} = (K, \cup, \cdot, ()^*, 1, 0)$  such that:

- K1**  $(K, \cup, 0)$  is a join-semilattice with bottom element 0;
- K2**  $(K, \cdot, 1)$  is a monoid with unit 1, moreover  $\cdot$  preserves  $\cup$  in each coordinate, and 0 is an annihilator for  $\cdot$ ;
- K3**  $1 \cup \alpha \cdot \alpha^* \leq \alpha^*$ ,  $1 \cup \alpha^* \cdot \alpha \leq \alpha^*$ , and  $1 \cup \alpha^* \cdot \alpha^* \leq \alpha^*$ ;
- K4**  $\alpha \cdot \beta \leq \beta$  implies  $\alpha^* \cdot \beta \leq \beta$ ;
- K5**  $\beta \cdot \alpha \leq \beta$  implies  $\beta \cdot \alpha^* \leq \beta$ .

A Kleene algebra is *continuous* if:

- K1'**  $(K, \cup, 0)$  is a *complete* join-semilattice;
- K2'**  $\cdot$  is *completely* join-preserving in each coordinate;
- K6**  $\alpha^* = \bigcup \alpha^n$  for  $n \geq 0$ .

We focus on the continuous Kleene algebras in the following.

# Observation

Since  $()^*$  is a closure operator, for any Kleene algebra  $\mathbb{K}$ , the operation  $()^* : K \rightarrow K$  is a closure operator on  $K$  seen as a poset. By general order-theoretic facts this means that

$$()^* = e\gamma,$$

where  $\gamma : K \rightarrow \text{Range}(*)$ , defined by  $\gamma(\alpha) = \alpha^*$  for every  $\alpha \in K$ , is the left adjoint of the natural embedding  $e : \text{Range}(*) \hookrightarrow K$ , i.e. for every  $\alpha \in K$ , and  $\xi \in \text{Range}(*)$ ,

$$\gamma(\alpha) \leq \xi \quad \text{iff} \quad \alpha \leq e(\xi).$$

Notice that if we consider  $\gamma$  and  $e$  as  $\blacklozenge$  and  $\square$ , hence for any  $\alpha \in K$ ,  $\alpha^* = \square\blacklozenge\alpha$ .

- controlled structure rules to cover the following inequalities:

$$\Box\blacklozenge\alpha \leq \Box\blacklozenge\alpha \cdot \Box\blacklozenge\alpha \text{ and } \Box\blacklozenge\alpha \cdot \Box\blacklozenge\alpha \leq \Box\blacklozenge\alpha$$

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- problematic way:

$$\text{cc} \frac{\Box\blacklozenge\alpha \cdot \Box\blacklozenge\alpha \vdash \beta}{\Box\blacklozenge\alpha \vdash \beta} \qquad \text{cex} \frac{\Box\blacklozenge\alpha \vdash \beta}{\Box\blacklozenge\alpha \cdot \Box\blacklozenge\alpha \vdash \beta}$$



# Moving to proof theory

- controlled structure rules to cover the following inequalities:

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- multi-type way: let  $S = \{\xi \mid \xi = \blacklozenge\alpha \text{ for some } \alpha \in K\}$ ,

$$\text{cc} \frac{\Box\blacklozenge\alpha \cdot \Box\blacklozenge\alpha \vdash \beta}{\Box\blacklozenge\alpha \vdash \beta} \quad \text{abs} \frac{\alpha_1 \vdash \Box\xi \quad \alpha_2 \vdash \Box\xi}{\alpha_1 \cdot \alpha_2 \vdash \Box\xi}$$

## Definition

A *measurable Kleene algebra* is a structure  $\mathbb{K} = (K, \cup, \cdot, ()^*, ()^*, 1, 0)$  such that:

**MK1**  $(K, \cup, \cdot, ()^*, 1, 0)$  is a continuous Kleene algebra;

**MK2**  $()^*$  is a monotone unary operation;

**MK3**  $1 \leq \alpha^*$ , and  $\alpha^* \cdot \alpha^* \leq \alpha^*$ ;

**MK4**  $\alpha^* \leq \alpha$  and  $\alpha^* \leq \alpha^{**}$ ;

**MK5**  $\beta \leq \alpha$  and  $1 \leq \beta$  and  $\beta \cdot \beta \leq \beta$  implies  $\beta \leq \alpha^*$ .

The name is chosen by analogy with measurable sets in analysis, which are defined in terms of the existence of approximations “from above” and “from below”.

By general order-theoretic facts,

$$()^\star = e' \iota,$$

where  $\iota : K \rightarrow \text{Range}(\star)$ , defined by  $\iota(\alpha) = \alpha^\star$  for every  $\alpha \in K$ , is the right adjoint of the natural embedding  $e' : \text{Range}(\star) \hookrightarrow K$ , i.e. for every  $\alpha \in K$  and  $\xi \in \text{Range}(\star)$ ,

$$e'(\xi) \leq \alpha \quad \text{iff} \quad \xi \leq \iota(\alpha).$$

## Lemma

For any measurable Kleene algebra  $\mathbb{K}$  and any  $\alpha \in K$ , if  $1 \leq \alpha$  and  $\alpha \cdot \alpha \leq \alpha$ , then

$$\alpha^* = \alpha = \alpha^*.$$

Hence,

$$\text{Range}(*) = \text{Range}(\star) = \{\beta \in K \mid 1 \leq \beta \text{ and } \beta \cdot \beta \leq \beta\}.$$

This guarantees that

$$\text{Range}(*) = \text{Range}(\star) = \{\beta \in K \mid 1 \leq \beta \text{ and } \beta \cdot \beta \leq \beta\}.$$

Hence,  $e'$  coincides with the natural embedding  $e : \text{Range}(*) \hookrightarrow K$ , which is then endowed with both the left adjoint and the right adjoint. We can consider  $\iota$  as  $\blacksquare$ , hence for any  $\alpha \in K$ ,  $\alpha^* = \square \blacksquare \alpha$ .

- controlled structure rules to cover the following inequalities:

$$\Box\blacklozenge\alpha \leq \Box\blacklozenge\alpha \cdot \Box\blacklozenge\alpha$$

- multi-type way: let  $S = \{\xi \mid \xi = \blacklozenge\alpha \text{ for some } \alpha \in K\} = \{\xi \mid \xi = \blacksquare\alpha \text{ for some } \alpha \in K\}$ ,

$$\text{cc} \frac{\Box\xi \cdot \Box\xi \vdash \beta}{\Box\xi \vdash \beta}$$

## Definition

A *heterogeneous measurable Kleene algebra* is a tuple  $\mathbb{H} = (\mathbb{A}, \mathbb{B}, \iota, \gamma, e)$  verifying the following conditions:

- H1**  $\mathbb{A} = (\mathbb{A}, \sqcup, \cdot, 1_s, 0)$  is such that  $(\mathbb{A}, \sqcup, 0)$  a complete join-semilattice with bottom element 0 and  $(\mathbb{A}, \cdot, 1_s)$  a monoid with unit 1, moreover  $\cdot$  preserves arbitrary joins in each coordinate, and 0 is an annihilator for  $\cdot$ ;
- H2**  $\mathbb{B} = (\mathbb{S}, \sqcup, 0_s)$  is a complete join-semilattice with bottom element  $0_s$ ;
- H3**  $e(\gamma(\alpha)) = \bigcup \alpha^n$  for any  $n \in \mathbb{N}$ .
- H4**  $\gamma : \mathbb{A} \rightarrow \mathbb{B}$  and  $\iota : \mathbb{A} \rightarrow \mathbb{B}$  and  $e : \mathbb{B} \hookrightarrow \mathbb{A}$  are such that  $\gamma \dashv e \dashv \iota$  and  $\gamma(e(\xi)) = \xi = \iota(e(\xi))$  for all  $\xi \in \mathbb{S}$ ;
- H5**  $1 \leq e(\xi)$ , and  $e(\xi) \cdot e(\xi) \leq e(\xi)$  for any  $\xi \in \mathbb{B}$ ;
- H6** For any  $\beta \in \mathbb{A}$ , if  $1 \leq \beta$  and  $\beta \cdot \beta \leq \beta$ , then  $\gamma(\beta) \leq \iota(\beta)$ .

# From single type to multi-type

## Definition

For any measurable Kleene algebra  $\mathbb{K} = (K, \cup, \cdot, ()^*, ()^*, 1, 0)$ , let

$$\mathbb{K}^+ = (\mathbb{A}, \mathbb{B}, \iota, \gamma, e)$$

be the structure defined as follows:

- 1  $\mathbb{A} := (K, \cup, \cdot, 1, 0)$  is the  $\{()^*, ()^*\}$ -free reduct of  $\mathbb{K}$ ;
- 2  $\mathbb{B} := (\mathcal{S}, \cup, 0^*)$ ;
- 3  $\gamma : \mathbb{A} \rightarrow \mathbb{B}$  and  $e : \mathbb{B} \hookrightarrow \mathbb{A}$  are defined as the maps into which the closure operator  $()^*$  decomposes, and  $\iota : \mathbb{A} \rightarrow \mathbb{B}$  and  $e : \mathbb{B} \hookrightarrow \mathbb{A}$  are defined as the maps into which the interior operator  $()^*$  decomposes.

## Proposition

*For any measurable Kleene algebra  $\mathbb{K}$ , the structure  $\mathbb{K}^+$  defined above is a heterogeneous measurable Kleene algebra.*

# From multi-type to single type

## Definition

For any heterogeneous measurable Kleene algebra  $\mathbb{H} = (\mathbb{A}, \mathbb{B}, \iota, \gamma, e)$ , let  $\mathbb{H}_+ := (\mathbb{A}, ()^*, ()^\star)$ , where  $()^* : \mathbb{A} \rightarrow \mathbb{A}$  and  $()^\star : \mathbb{A} \rightarrow \mathbb{A}$  are respectively defined by  $\alpha^* := e(\gamma(\alpha))$  and  $\alpha^\star := e(\iota(\alpha))$  for every  $\alpha \in \mathbb{A}$ .

## Proposition

*For any heterogeneous measurable Kleene algebra  $\mathbb{H} = (\mathbb{A}, \mathbb{B}, \iota, \gamma, e)$ , the structure  $\mathbb{H}_+$  defined above is a measurable Kleene algebra. Moreover, the kernel of  $\mathbb{H}_+$  is join-semilattice-isomorphic to  $\mathbb{B}$ .*

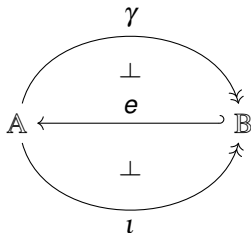


# The heterogeneous representation of MKAs

## Proposition

For any measurable Kleene algebra  $\mathbb{K}$  and heterogeneous measurable Kleene algebra  $\mathbb{H}$ ,

$$\mathbb{K} \cong (\mathbb{K}^+)_+ \quad \text{and} \quad \mathbb{H} \cong (\mathbb{H}_+)^+.$$



- Operational and structural connectives:

$$\text{General} \quad \left\{ \begin{array}{l} \alpha ::= a \mid 1 \mid 0 \mid \square\xi \mid \alpha \cup \alpha \mid \alpha \cdot \alpha \\ \Gamma ::= \Phi \mid \circ\Pi \mid \Gamma \odot \Gamma \mid \Gamma < \Gamma \mid \Gamma > \Gamma \end{array} \right.$$

$$\text{Special} \quad \left\{ \begin{array}{l} \xi ::= \blacklozenge\alpha \mid \blacksquare\alpha \\ \Pi ::= \bullet\Gamma \end{array} \right.$$

- Interpretations:

<i>General</i>								$S \rightarrow G$		$G \rightarrow S$	
$\Phi$		$\odot$		$<$		$>$		$\circ$		$\bullet$	
1	0	$\cdot$			$(/)$		$(\backslash)$	$\square$	$\square$	$\blacklozenge$	$\blacksquare$

# Some specific structural rules

$$\text{one} \frac{}{\Phi \vdash \circ\Pi}$$

$$\frac{\Gamma \vdash \circ\Pi \quad \Delta \vdash \circ\Pi}{\Gamma \odot \Delta \vdash \circ\Pi} \text{abs}$$

$$\text{b-bal} \frac{\Pi \vdash \Sigma}{\bullet \circ \Pi \vdash \bullet \circ \Sigma}$$

$$\frac{\Pi \vdash \Xi}{\circ \Pi \vdash \circ \Xi} \text{w-bal}$$

$$\omega \frac{(\Gamma^{(n)} \vdash \Delta \mid n \geq 1)}{\circ \bullet \Gamma \vdash \Delta}$$

$$\frac{\circ \Pi \odot \circ \Pi \vdash \Delta}{\circ \Pi \vdash \Delta} \circ\text{-C}$$



# Consequences

## Theorem (Soundness)

*The rules in D.MKL is sound w.r.t. the class of HMKA.*

## Theorem (Completeness)

*D.MKL is complete with respect to the class of MKA.*

## Theorem (Conservativity)

*D.MKL is a conservative extension of H.MKL.*

## Theorem (Cut elimination)

*D.MKL is cut-eliminable.*

## Theorem (Subformula property)

*D.MKL has subformula property.*

Thank you for your attention!